

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: RSM US LLP
 Engagement: Raritan Bridge Replacement Project - 14-033C
 For Quarter Ending: 12/31/2023

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| A. General Info | | | |
| 1. | Recipient of funding | New Jersey Transit Corporation | |
| 2. | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3. | State Funding (if applicable) | Transportation Trust Fund - \$148,770,822 | |
| 4. | Award Type | Federal Transit Administration Public Transportation Emergency Relief Program | |
| 5. | Award Amount | \$446,312,465 | Total Project Budget \$595,083,287.00 |
| 6. | Contract/Program Person/Title | Ronald Hovey, Chief Procurement Officer (Acting) | |
| 7. | Brief Description, Purpose and Rationale of Project/Program | The project includes the removal of the current bridge and replacement of the existing bridge with a new moveable bridge designed and built to better withstand ocean surge and wave action during major storms. | |
| 8. | Contract/Program Location | NJ TRANSIT's North Jersey Coast Line (NJCL) between Perth Amboy and South Amboy | |
| 9. | Amount Expended to Date | \$315,618,494.46 | |
| 10. | Amount Provided to other State or Local Entities | None | |
| 11. | Completion Status of Contract or Program | In Progress | |
| 12. | Expected Contract End Date/Time Period | January 2029 | |
| B. Monitoring Activities | | | |
| 13. | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable. | |
| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | <p>Preparation and submission of bi-weekly status updates and monthly Form E.</p> <p>RSM obtained and reviewed project documentation in conjunction with our fraud risk assessment and execution of our approved workplan. RSM's submitted fraud risk assessment was approved and the subsequent full work authorization was approved on October 27, 2021.</p> <p>RSM performed one site visit during this period. The visit occurred on November 1, 2023.</p> | |

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| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | RSM requested additional documents to facilitate our fraud risk assessment, as well as, for the purposes of developing an understanding of the project. This information included but was not limited to: - Construction meetings - Construction Assistance - Davis Bacon/Disbursements - Fieldwork Construction - Notice(s) of Proposed Change / Change Orders - Claims Management - Procurement Documentation - Subcontractors - Disadvantaged Business Enterprise (DBE) Form E's and E2's | |
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements. | We conducted testing in accordance with our fraud risk assessment related to procurement, disbursements, FTA Quarterly Reports, daily construction reports, DBE form E and E2's, claims management, subcontractors and NPCs. | |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe | We are in process of performing detailed inspection of 77 out of 77 design (15-044), and 35 out of 35 construction management (18-015), and 34 out of 34 construction (20-005X) invoices in line with our approved worksteps. | |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse. | Our meetings with key stakeholders, attendance at bi-weekly project status meetings, requests and review of source documents, and site inspection all contribute to preventing and detecting waste, fraud and abuse. | |
| 19. | Provide details of any integrity issues/findings | None. | |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s). | None. | |
| 21. | Provide details on any other items of note that have occurred in the past quarter | None. | |
| 22. | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable. | |

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| C. Miscellaneous | | | |
| 23. | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | For the period October 1, 2023 - December 31, 2023, the following individuals have expended a total of 209.05 hours, with \$34.06 in reimbursement of expenses requested. Name: David Luker Matthew Blondell Stephanie Tantillo Rita Chevalier Ryan Daly Devann Marchand Taylor Masten Marcelo Fuentes (MBE subcontractor) Michael Mudalel (MBE subcontractor) | |
| 24 | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None. | |

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|----------------------------|-------------------------------------------------------------------------------------|
| Name of Integrity Monitor: | RSM US LLP |
| Name of Report Preparer: | David Luker |
| Signature: |  |
| Date: | 31-Dec-23 |